

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of:

Fogarty, et al.

Serial No.: Unassigned

Art Unit: Unassigned

Filed: February 24, 2004

For: Minimally Invasive  
Direct Cardiac Massage Device  
and Method

Examiner: Unassigned

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

Enclosed please find Applicants' Information Disclosure Statement. Copies of all references not provided with this information disclosure statement were provided in parent application no. 10/337,694 filed January 6, 2003, in parent application no. 09/567,844 filed May 9, 2000 or in parent application no. 08/691,042 filed August 1, 1996.

Date: February 24, 2004

By:

Theodore D. Fay III  
Reg. No. 48,504

Certificate of Mailing (37 CFR 1.10)

I hereby certify that this response (along with any paper referred to as being attached or enclosed) is being deposited in Express Mail using Express Mail Post Office to Addressee with the United States Postal Service on the date shown below in an envelop addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313..

Express Mail No. EL 965835905 US  
Date: February 24, 2004

Theodore D. Fay III  
Theodore D. Fay III, Esq.

## LIST OF PRIOR ART CITED BY APPLICANT

(use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,439,476	8/95	Frantzides	606	192	
	AB	5,385,528	1/95	Wilk	601	153	
	AC	4,048,990	9/97	Goetz	128	64	
	AD	4,570,615	2/86	Barkalow	128	28	
	AE	5,484,391	1/96	Buckman, Jr., et al.	601	135	
	AF	5,466,221	11/95	Zadini, et al.	604	96	
	AG	5,607,443	3/97	Kieturakis, et al.	606	192	
	AH	5,496,345	3/96	Kieturakis, et al.	606	192	
	AI	5,836,961	11/98	Kieturakis, et al.	606	190	
	AJ	6,036,640	3/00	Corace, et al.	600	207	
	AK	5,868,707	2/99	Williams, et al.	604	103	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AL						
	AM						

## OTHER PRIOR ART (including Author, Title, Date, Pertinent Pages, Etc.)

	Buckman, et al., Direct Cardiac Massage Without Major Thoracotomy, 29 Resuscitation 237 (1995)
	Taylor, et al., External Cardiac Compression, A Randomized Comparison Of Mechanical and Manual Techniques, 240 JAMA 644 (Aug. 1978)
	Hightower, et al., Decay In Quality Of Chest Compressions Over Time, 26 Ann. Emerg. Med. 300 (Sept. 1995)

EXAMINER	DATE CONSIDERED

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. <b>212/562</b>	SERIAL NO. <b>Unassigned</b>
LIST OF PRIOR ART CITED BY APPLICANT  (use several sheets if necessary)		APPLICANT <b>Fogarty, et al.</b>			
				FILING DATE <b>February 24, 2004</b>	GROUP <b>Unassigned</b>

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,710,181	12/87	Fuqua	604	280	
	AB	5,607,441	3/97	Sierocuk, et al.	606	190	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

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